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TECHNICALLY UNAVOIDABLE PARTICLE PROFILE (TUPP) – TREHALOSE, DIHYDRATE

PROCESS ROOM N02

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1. PURPOSE:

- 1.1. The purpose of this document is to provide the user of this product with a Technically Unavoidable Particle Profile (TUPP) for Process Room N02 at BioSpectra's Bangor, PA facility used in the manufacture of cGMP Trehalose, Dihydrate Bio Excipient.

2. SCOPE:

- 2.1. This TUPP applies to the manufacturing and packaging process of Trehalose, Dihydrate manufactured at BioSpectra's Bangor, PA facility in Process Room N02.

3. REFERENCES:

- 3.1. IPEC; Technically Unavoidable Particle Profile (TUPP) Guide

4. DEFINITIONS:

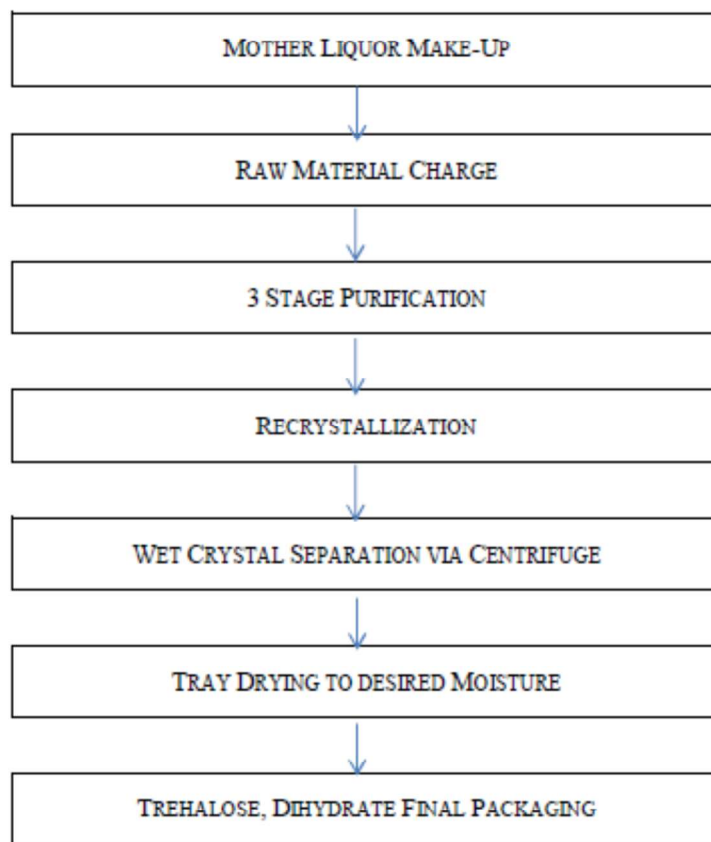
- 4.1. Technically Unavoidable Particle (TUP): A visibly different particle that can be viewed with the naked eye that is inherent to the raw material, manufacturing process or product and does not pose risk to patient safety.
- 4.2. Technically Unavoidable Particle Profiles (TUPPs): A report on all potential known Technically Unavoidable Particles (TUP) for an excipient process that can be shared with a customer or end user.
- 4.3. Atypical Particles – particles not consistent with the typical particulate profile; not previously encountered or identified.
- 4.4. Reprocessing: A system of improving an intermediate or finished product that does not conform to established specification by repeating a step or series of steps that are a part of the approved manufacturing process. The reprocessing of a batch of Trehalose, Dihydrate was approved as part of the validation of the Trehalose, Dihydrate manufacturing process.

5. TECHNICALLY UNAVOIDABLE PARTICLES (TUP):

- 5.1. The construction of a technically unavoidable particle profile assumes that GMPs are followed and possible mitigation strategies are taken, the remaining particles, if they pose no risk to safety, are deemed technically unavoidable.
- 5.2. Technically unavoidable particles could originate from any of the following parts of the manufacturing process: Material of Construction of the manufacturing equipment that is product contacting, consumable process equipment, Material of Construction of the packaging components and any materials that are involved in the manufacturing process that may come into contact with the product that are the lowest risk scenarios. Scenarios that are considered to be the lowest risk are situations in which no mitigation strategies exist or cannot be implemented within reason.

6. PROCESS FLOW DIAGRAM:

cGMP Trehalose, Dihydrate Manufacturing Process Flow Diagram

**7. PROFILE:**

7.1. Manufacturing Location:

7.1.1. Bangor, PA Facility

7.2. Applicable Product Codes:

7.2.1. TRED-32XX and below compliance grades

Table 1: TUPPs originating from product contacting surfaces in the manufacturing process

Originating from the Manufacturing Process					
Identity	Characterization	Origin	How Removed	How Prevented	Picture (Example of Source)
Tantalum	Metallic Shavings	Process Tanks	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
Hastelloy	Metallic Shavings	Process Tanks (Agitator Seals)	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
Glass	Glass Fragments	Process Tanks Process Tank Agitators	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	Not Applicable
PTFE	Opaque White Plastic	Process Tanks Process Tank Burst Disks Pressure Gauges Centrifuge Diaphragm Pump	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
Polypropylene	Natural Colored Opaque Off-White Blue Plastic	Process Tank Fittings Pressure Gauges	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
Conductive Polypropylene	Natural Colored Opaque Off-White Plastic	Diaphragm Pump	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
Hastelloy C276	Metallic Shavings	Cartridge Filter Zeta Filter Polishing Filter	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	

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Originating from the Manufacturing Process					
Identity	Characterization	Origin	How Removed	How Prevented	Picture (Example of Source)
Hastelloy C22	Metallic Shavings	Centrifuge	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
Halar	Polymer Lining	Centrifuge Mother Liquor Trap Tank	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
HDPE	White Plastic	Mother Liquor Holding Tank Drying Trays	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
LLDPE	Opaque Plastic	Sifting Bin	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
316 Stainless Steel	Metallic Shavings	Diaphragm Pump Tray Sifter	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
PVC	White, Clear, Opaque Plastic	Milk Hoses	Filtration Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	
UHMW-PE	White Plastic	Chemical Hoses	Inspection Reprocess	Pre-Process Inspection Preventative Maintenance	Not Available

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Originating from the Manufacturing Process					
Identity	Characterization	Origin	How Removed	How Prevented	Picture (Example of Source)
PVDF	Opaque Plastic, Black Plastic, Blue Plastic	Process Tanks, Centrifugal Pump, Portable Sprayer Nozzle	Filtration Inspection of product post- filtration	Pre-Process Inspection Preventative Maintenance	

7.3. The following TUPPs are dependent on the packaging type.

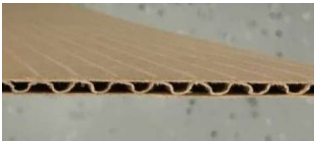
Table 2: TUPPs originating from product contacting surfaces of the packaging components

Originating from the Packaging Components					
Identity	Characterization	Origin	How Removed	How Prevented	Picture (Example of Source)
Hexene LLDPE	Clear Plastic	Liner (Packaging)	Reprocessing Inspection	Inspection at time of use	
HDPE	White Plastic	Bottle (Packaging)	Reprocessing Inspection	Inspection at time of use	
Polypropylene	Blue Plastic	Tamper Evident lid (Packaging)	Reprocessing Inspection	Inspection at time of use	

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7.4. The following Atypical particles are dependent on the packaging type.

Table 3: Atypical particles originating from non-product contacting surfaces of the packaging components

Atypical Particles: Originating from the Packaging Components					
Identity	Characterization	Origin	How Removed	How Prevented	Picture (Example of Source)
HMW-HDPE	Blue Plastic	Drum (Packaging)	Reprocessing Inspection	Inspection at time of use Product Care Procedure	
HDPE	Blue or White Plastic	Pail and Lid (Packaging)	Reprocessing Inspection	Inspection at time of use Product Care Procedure	
Fiber	Brown Cardboard	Drum (Packaging) Drum (Desiccant Storage)	Reprocessing Inspection	Inspection at time of use Product Care Procedure	
Cardboard	Brown	Pallet Liner	Reprocessing Inspection	Inspection at time of use Product Care Procedure	
Wood	Wood Shaving	Pallet	Reprocessing Inspection	Inspection at time of use Product Care Procedure	

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